

## **Survey of ACO and PSO based Secure Routing protocols for Wireless networks**

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### **ABSTRACT**

Wireless network are computer network that are not connected by cables any kind. There are radio waves to connect devices .Some of the issues in wireless networks are related to technology connectivity, meeting user demand and security considerations, and network management. Solving security and routing snags in wireless networks is one of the main challenging tasks. With the influence of swarm intelligence snags in security and routing can be cracked in highly decisive. Swarm intelligence (SI) is the collective behavior of decentralized, self-organized systems, natural or artificial. SI can crack very high sophisticated problem.SI induced some of simplified optimization technique such as Ant Colony Optimization (ACO), Particle Swarm Optimization (PSO). This paper presents reviews on several ACO and PSO based secure routing protocols in wireless networks. This survey mainly focuses on: 1.Protrayal of swarm intelligence in networks, 2.classification of SI based techniques on two main snags security, routing, and 3. Comparison and performance analysis of several ACO and PSO based secure routing protocols for wireless networks.

**Keywords :** *Ant Colony Optimization, Particle Swarm Optimization, Swarm Intelligence, Wireless Networks.*

### **I INTRODUCTION**

Wireless network connects computers together through radio technology using standard network rules or protocols. It can be installed as the sole network in a building. Wireless technologies are widely used in both home and business computer networks. It can also be used to extend an existing wired network to areas where wiring would be too difficult or too expensive to implement, or to areas located away from the main network or building. In the 1970s, the key events that led to wireless networking becoming one of the fastest growing technologies of the early 21st century have been the ratification of the IEEE 802.11 standard in 1997 [1]. Types of wireless networks are:



- Wireless Local Area Network (LAN): Links two or more devices using a wireless distribution method, providing a connection through access points to the wider Internet.

- Wireless Metropolitan Area Networks (MAN): Connects several wireless LANs.

- Wireless Wide Area Network (WAN): Covers large areas such as neighboring towns and cities. Wireless

- Personal Area Network (PAN): Interconnects devices in a short span, generally within a person's reach

The configurations of wireless networks are Ad hoc and Infrastructure Configuration using Access Point(s) [2]. Two other pieces of equipment may be required to support a wireless LAN: (1) Extension points which act as wireless relays extend the range of an access point, and (2) Directional antennae may be used as a means of connecting two separate buildings so that the network is shared between buildings. The snags in wireless networks are lack of bandwidth, handovers and routing, secure connection, reliable connections [3]. Many wireless network applications realize significant cost savings because of increases in efficiencies and less downtime as compared to a wired network. Most wireless network technologies are license free, making them simple and cost effective to deploy.

Swarm Intelligence is the collaborative behavior of decentralized self organized agents which consists of many individuals that are coordinated and organized by the principles of indirect communication. These agents work simultaneously and communicate indirectly to find a solution to their problem [4]. The concept is employed in work on AI introduced by Gerardo Beni and Jing Wang in 1989, in the context of cellular robotic systems. SI is a relatively new subfield of artificial intelligence which studies the emergent collective intelligence of simple multi-agents.

It is based on social, intelligent and self-organized behavior that can be observed in nature, such as ant colonies, flocks of birds, fish schools and bee hives, where a number of individuals with limited capabilities are able to come to intelligent solutions for complex problems [5]. The potential of SI makes it a perfect candidate for IDS, which needs to distinguish normal and abnormal behaviors from large amount of data [6]. Characteristics of SI are (1) Composed of many individuals, (2) Individuals are homogeneous, (3) Local interaction based on simple rules, and (4) Constituting a natural model particularly suited to distributed problem solving.

Ant colony Optimization is an optimization technique that is based on the foraging behavior of real ant colonies. ACO is a probabilistic technique for solving many problems which can be reduced to finding good paths through graphs. This algorithm is a member of ant colony algorithms family, in swarm intelligence methods, and it constitutes some met heuristic optimizations. It applies multi-agent approach for solving difficult combinatorial optimization. It has become new and fruitful research area. Ant's algorithm has also plenty of networking applications such as in communication networks and electrical distribution networks [7].

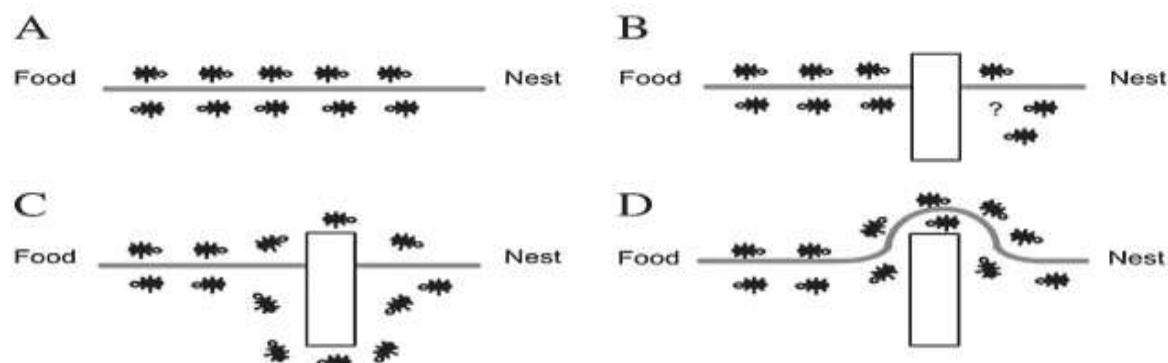
Particle swarm optimization PSO is inspired by social behavior and movement dynamics of insects, birds and fish .It is global gradient-less stochastic search method. It has been successfully been applied to a wide variety of problems such as Neural Networks, Structural opt., Shape topology opt. Hypothesis are plotted in this space and seeded with an initial velocity as well as a communication channel between the particles.PSO is initialized with a group of random particle and then researches for optima by updating generations [8]. In this paper we roll call the network security and routing snags which are elucidated by different techniques of ACO and PSO of SI. The rest of this paper is organized as follows. Section II implies the narration of

ACO. The depiction of PSO is given in section III. The issues in network security and routing, study of ACO and PSO based secure routing protocols, and their comparisons are discussed in section IV. Finally, section V concludes the work.

## II.THE BACKGROUND OF ACO

### 2.1Nature of Ant

In nature, ants are well known type of social insects. The size of an ant colony can vary from a few dozen to millions. When searching for food, ants initially explore the area surrounding their nest in a random manner. While moving, ants leave a chemical pheromone trail on the ground. Ants can smell pheromone. When choosing their way, they tend to choose, in probability, paths marked by strong pheromone concentrations. As soon as an ant finds a food source, it evaluates the quantity and the quality of the food and carries some of it back to the nest. During the return trip, the quantity of pheromone that an ant leaves on the ground may depend on the quantity and quality of the food. The pheromone trails will guide other ants to the food source. Ants use indirect coordination in successfully detecting the shortest path between the nest and the food sources. Fig.1. A. shows that ants follow the pheromone trail to reach food from their nest. An obstacle is introduced in B which interrupts the trail. C shows two new paths through obstacle discovered by ants to reach food. Finally D indicates that the ants select only shortest path by using the pheromone trail formed on that path.



**Fig.2.1 Natural behaviour of ants**

### 2.2The Ant Colony Optimization algorithm

Ant colony optimization was introduced by Marco Dorigo in early 1990's. ACO algorithms belong to the class of metaheuristics . It is a probabilistic technique for solving computational problems which can be reduced to finding good paths through graphs [9]. Ant colony optimization (ACO) is a population-based metaheuristic that can be used to find approximate solutions to difficult optimization problems. In ACO, a set of software agents called artificial ants search for good solutions to a given optimization problem. It is used for the search of approximate solutions to discrete optimization problems, continuous optimization problems, and to important problems in

telecommunications, such as routing and load balancing. In ACO algorithms, artificial ants are stochastic candidate solution construction procedures that exploit a pheromone model and possibly available heuristic information of the mathematical model.

At each iteration of the algorithm, each ant moves from a state  $x$  to state  $y$ , corresponding to a more complete intermediate solution. Thus, each ant  $k$  computes a set  $A_k(x)$  of feasible expansions to its current state in each iteration, and moves to one of these in probability. For ant  $k$ , the probability  $p_{xy}^k$  of moving from state  $x$  to state  $y$  depends on the combination of two values, viz., the attractiveness  $\eta_{xy}$  of the move, as computed by some heuristic indicating the a priori desirability of that move and the trail level  $\tau_{xy}$  of the move, indicating how proficient it has been in the past to make that particular move. The trail level represents a posteriori indication of the desirability of that move. Trails are updated usually when all ants have completed their solution, increasing or decreasing the level of trails corresponding to moves that were part of "good" or "bad" solutions, respectively.

In general, the  $k^{\text{th}}$  ant moves from state  $x$  to state  $y$  with probability:

$$p_{xy}^k = \frac{(\tau_{xy}^\alpha)(\eta_{xy}^\beta)}{\sum(\tau_{xy}^\alpha)(\eta_{xy}^\beta)} \quad \text{equation (1)}$$

Where,

$\tau_{xy}$  - amount of pheromone deposited for transition from state  $x$  to  $y$

$0 \leq \alpha$  - parameter to control the influence of  $\tau_{xy}$ ,  $\eta_{xy}$  is the desirability of state transition  $xy$

$\beta \geq 1$  - parameter to control the influence of  $\eta_{xy}$ .

If  $\alpha$  value is high, then the pheromone intensity has strong impact to ants. If  $\alpha$  value is low, then the ACO algorithm is close to a stochastic greedy algorithm. When  $\alpha = 0$ , ants select the next hop node only based on the heuristic information [10].

Evaluation of the pheromone value  $\tau_{xy}$  at the end of each of the iteration is the core of ACO algorithm. After each ant has constructed a solution (i.e., at each iteration) the pheromone value on each edge is updated. The goal of the pheromone update is to increase the pheromone values associated with good or promising solutions, and to decrease those that are associated with bad ones. The pheromone updating rule consists of two operations:

- The pheromone evaporation operation that reduces the current level of pheromone
- The pheromone additive operation: depends on the quality of the solutions generated at the iteration, pheromone is added to the edge of good solutions.

The updating rule is:

$$\tau_{xy}^k = (1 - \rho)\tau_{xy}^k + \Delta\tau_{xy}^k \quad \text{equation (2)}$$



Where,

$\rho$  is the pheromone evaporation factor representing the pheromone decay ( $0 \leq \rho \leq 1$ ),  $\Delta\tau_{xy}$  is the pheromone addition for edge  $xy$ . The decay of the pheromone levels enables the colony to 'forget' poor edges and increases the probability of good edges being selected. For  $1 \rightarrow \rho$ , only small amounts of pheromone are decayed between iterations and the convergence rate is slower. This is characterized by the high probability of finding the global optimal solution at the expense computational efficiency. Whereas for  $0 \rightarrow \rho$ , more pheromone is decayed resulting in a faster convergence. This trend leads to getting stuck at the local optimal solutions[11].  $\Delta\tau_{xy}^k$  is the amount of pheromone deposited.

$$\Delta\tau_{xy}^k = \begin{cases} Q/L_k & \text{if ant } k \text{ uses curve } xy \text{ in its tour} \\ 0 & \text{otherwise} \end{cases} \quad \text{equation(3)}$$

Where,

$L_k$  is the cost of the  $k^{\text{th}}$  ant's tour (typically length) and  $Q$  is a constant.

Some of the benefits of ACO are: it can be used in dynamic applications, inherent parallelism, positive feedback leads to rapid discovery of good solutions, and distributed computation avoids premature convergence. Some of the applications of ACO are routing in telecommunication networks, traveling salesman, graph coloring, scheduling, constraint satisfaction, assignment problem, set problem, image processing etc.

### Pseudocode of ACO algorithm

```

intialize
  for t=1 to iteration number do
    for k=1to 1 do
      repeat until ant k has completed a tour
        select the city x to visited next
        with the probability  $p_{xy}$ 
      calculate  $L_k$ 
      update the trial level
    end
  
```

The ACO algorithm can be generally described as the interplay of three procedures: 1. Construct ants solutions is the procedure in which a colony of ants concurrently find the solutions in the construction graph. 2. Update Pheromones is the process in which ants modify the pheromone trails. 3. Daemon Actions is an optional procedure which is designed for implementing centralized actions. These three procedures conduct many researchers to design their own protocols [12].



### **2.3Review of ACO Based Routing Protocols**

**2.3.1Low Energy Adaptive Clustering Hierarchy( LEACH):** Low Energy Adaptive Clustering Hierarchy (LEACH) is probably one of the more referenced protocols in the wireless sensor networks area. It is a powerful, efficient protocol created to be used in sensor networks with continued data flowing (unstoppable sensor activity) [13]. This is a protocol that uses a hierarchical topology, randomly creates cluster heads, and presents data aggregation mechanisms. The operation of LEACH is organized in rounds where each round consists of a 2 phases: (1) setup phase, (2) transmission phase. In the setup phase, the nodes classify themselves into clusters with one node selected as the cluster head in each cluster. In transmission phase, cluster heads collect data from the nodes within their respective clusters and they transfer the processed information to the base station [14]. Properties of this algorithm are Cluster based, random cluster head selection each round with rotation (or) cluster head selection based on sensor having highest energy, cluster membership adaptive, data aggregation at cluster head, cluster head communicate directly with sink or user, communication done with cluster head via TDMA, threshold value.

**2.3.2Flooded Piggyback Ant Routing (FP):** In FP, a novel specimen of ants i.e. Data Ants was introduced. The forward list is carried by FP. In this protocol, forward ants and data ants are combined through constrained flooding to route data packets and search for energy efficient paths in the network.[15] First, the distance to the sink, evaluated by the link probability  $P_n=1/|N|$  , where  $n$  is an ant's neighbor ,  $N$  is set of neighbor that is used to determine which neighbor will first broadcast a piggybacked ant. Second, a random delay is added to each transmission such that other nodes that overhear the same piggybacked ant from another neighbor drop their own copy of the data. The probability distribution constrains the flooding towards the destination for future data ants [16].

**2.3.3Flooded Forward Ant Routing (FF):** Flooded Forward Ant Routing (FF) was developed to overcome the shortcomings of misguiding paths due to obstacles in sensor –driven cost aware ant routing protocol (SC). It is efficient in reducing delay [15]. In FF, forward ants are flooded to search for the destination, and backward ants help to guide the ants back to the sources which are created by the forward ants. During the backward ant stage, the cost between each hop and the link probabilities are updated. Multiple paths are updated by one flooding phase. Flooding is stopped if the probability distribution is good enough for the data to reach the destination. The rate of release for the flooding ants is reduced when a shorter path is traversed [16]. Two strategies are used to control the forward flooding. First, the distance to the sink is evaluated by the link probability  $P_n=1/|N|$  (where  $n$  represents an ant's neighbor and  $N$  is the set of neighbors), and this distance is used to determine which neighbor will first broadcast a forward ant to join the forward search. Second, a random delay is added to each transmission such that another node that overhears the same ant from other neighbors will drop its own copy of the ant.

**2.3.4Ant colony based routing algorithm (ARA):** It was proposed by Gunes et al. ARA is an On-demand routing algorithm. It is based on simple ant colony optimization meta heuristics algorithm. It consists of 3 phases: (1) a route discovery phase, (2) a route maintenance phase, (3) a route failure phase. It uses the data packets to maintain the



route to avoid the overhead caused by the ant. If a node recognizes a link failure then it first sets a pheromone value to zero to deactivate the link and then it search for alternative link. If this fails, it informs to its neighbors. This process will repeat until the alternative route will found. It is very small, because there are no routing tables which are interchanged between the nodes. It only needs the information in the IP header of the data packets. Properties of ARA are Distributed operation, Loop-free, Demand –based operation, Sleep period operation, Locality, Multi-path, Sleep mode [17].

**2.3.5Probabilistic emergent routing algorithm (PERA):** PERA uses ant like agents to discover the network topology and maintain the routes in dynamic networks. It uses 3 kinds of ants are (1) regular FANTs, (2)uniform FANTs and BANTs, (3) regular and uniform FANTs and BANTs are sent out proactively. It has been designed to fulfill the following requirements: Every robot should be capable of sending data to any other robot, and every robot should be capable of receiving data from any other robot. The movement of robots must not cause any disturbance to ongoing communications. Multiple applications running concurrently on the same robot can use PERA in order to send / receive data independently of each other. Every robot should be capable of sending data to a particular application running on a given robot (end-to-end communication). The library providing the PERA protocol should allow a choice between unicast and multicast transmission [18].

**2.3.6Adaptive routing algorithm(AntHocNet):** AntHocNet is an adaptive routing algorithm for mobile ad hoc networks (MANETs) inspired by ideas from Ant Colony Optimization (ACO). In common MANET terminology, AntHocNet could be called a hybrid algorithm, as it combines both reactive and proactive routing strategies. Specifically, the algorithm is reactive in the sense that it does not try to maintain up-to-date routing information between all the nodes in the network, but instead concentrates its efforts on the pairs of nodes between which communication sessions are taking place. It is proactive in the sense that for those ongoing communication sessions, it continuously tries to maintain and improve existing routing information. To gather routing information, the AntHocNet algorithm uses two complementary processes. One is the repetitive end-to-end path sampling using artificial ant agents. The other is what we call pheromone diffusion, an information bootstrapping process that allows spreading routing information over the network in an efficient way. While the ant-based path sampling is the typical mode of operation of ACO routing algorithms, the pheromone diffusion process is in its working more similar to Bellman-Ford routing algorithms. It combines both processes in order to obtain an information gathering process that is at the same time efficient, adaptive and robust. The way path sampling and information bootstrapping are combined here is very different from other combinations of these approaches to learning that exist in the reinforcement learning literature and is specifically targeted at working highly dynamic non-stationary environments [19].



### III THE BACKGROUND OF PSO

#### 3.1 Nature of Particle

A particle is small localized object. In real life, each particle finds a solution to a problem. To do that hypothesis are plotted in a space and selected with a initial velocity and it have communication channel between particles. Once a initial velocity starts, the particle starts moving through the solution space and accelerate towards the other particles which will have a better fitness values between their communications grouping [20]. Through the social behavior of bird flocking PSO was developed. The main aim of birds flocking is a flock of animals that have no leaders will find food by random, follow one of the members of the group that has the closest position with a food source (potential solution). The flocks achieve their best condition simultaneously through communication among members who already have a better situation. Animal which has a better condition will inform it to its flocks and the others will move simultaneously to that place. This would happen repeatedly until the best conditions or a food source discovered.

#### 3.2 The Particle Swarm Optimization algorithm

PSO was proposed by Dr.Eberhart and Dr.Kennedy in 1995. The process of PSO algorithm in finding optimal values follows the work of this animal society [21]. Particle PSO is one the swarm intelligence technique. It deals with a problem for finding a best solution in an  $n$ -dimensional space it can be represented as surface or point. It is defined as the population based stochastic optimization technique that can stimulate swarm behavior of birds flocking. It exhibits common evolutionary computation attributes including initialization with a population of random solutions and searching for optima by updating generations [20]. PSO shares many similarities with evolutionary computation techniques such as Genetic Algorithms (GA). PSO has no evolution operators such as crossover and mutation. In PSO, the potential solutions, called particles, fly through the problem space by following the current optimum particles. Each particle has a fitness value and a velocity, and it learns the experiences of the swarm to search for the global optima. Each particle has its own velocity and position which are randomly initialized in the start. Each particle have to maintain its positions  $p_{best}$  known as local best position and the  $g_{best}$  known as global best position among all the particles. The equations are used to update the position and velocity of a particle [22].

$$v_i(t) = v_i(t-1) + c_1 r_1 + (localbest(t) - x_i(t-1)) + c_2 r_2 (globalbest(t) - x_i(t-1))$$

equation(4)

where,

$c_1, c_2$  are acceleration coefficient, and  $r_1, r_2$  are random vector.

$$x_i(t+1) = x_i(t) + v_i(t+1)$$

equation(5)

Where,



$x_i$  denote the position of the particle  $i$  in the search space at time step  $t$ ;  $t$  denotes discrete time steps. The position of particle is changed by adding the velocity  $v_i(t)$  to the current position.

### Pseudocode of PSO algorithm

```

Initialize the population randomly
While (Population Size)
{
    Loop Calculate fitness
    If fitness value is better from the best fitness value ( $pbest$ )
        in history then
            Update  $pbest$  with the new  $pbest$ 
    End loop
    Select the particle with the best fitness value from all
        particles as  $gbest$ 
    While maximum iterations or minimum error criteria is not
        attained
    {
        For each particle
            Calculate particle velocity by equation (4)
            Update particle position according to equation(5)
        Next
    }
}

```

### 3.3PSO Variants

Basic variants of PSO are velocity clamping, inertia weight.

**Velocity clamping:** This was introduced to avoid a phenomenon known as swarm explosion. With no restriction on the maximum velocity of the particles, a simple one-dimensional analysis of the swarm dynamic concludes that the particle velocity can grow unbounded while the particle oscillates around an optimum, increasing its distance to the optimum on each iteration. To control global exploration, velocity clamping is necessary. For that purpose, it's important to set some limit for the velocity of particle so that it will remain in the search area.

**Inertia weight:** Inertia weight plays an important role in the process of providing balance between exploration and exploitation. Main purpose of inertia weight is to control the initial velocity. It determines contribution of previous velocity to the current step's velocity. Basic PSO doesn't have any inertia weight. The momentum of particle is controlled by it by weighting the contribution of previous velocity. Large value of inertia weight leads to global search and small value facilitates a local search. Exploration is supported by larger value of  $w$  and smaller value facilitates exploitation.

### 3.4Review of PSO based routing protocol

**3.4.1Binary Particle swarm optimization:** Binay PSO is mainly used in cryptanalysis. Here, we define a particle's position and each particle updates its velocity and move to new position [23].

Updating the velocity of particle:

$$v_i^d = w * v_i^d + c_1 r_1 * (Pbest_i^d - x_i^d) + c_2 r_2 * (gbest_i^d - x_i^d) \quad \text{equation(6)}$$

Updating the position of particle:

Here  $x_i^d = V$

$\text{Sig}(V) = (1/1+e^{-V})$ , if  $\text{Sig}(V) > r_3$ .

Where,

$r_3$ = random value  $x_i^d = 1$  else ,  $x_i^d = 0$

**3.4.2 Wave of swarm of particles (WSOP):** The principle of WSOP is the existence of wave concept a factor of excitement that will be exercised on certain portions of the search space in order to disperse grouped particles and thus to extend the exploration away from local minima. It is semi automatic. It is force to concerned particles to change the direction inorder to avoid minimal local. It describes the course of particles inorder to optimize the cost function [24][25].

**3.4.3 Multicast routing based on particle swarm optimization(MSOP):** It is fully focused on energy efficient consumption and multicast routing. It selects the node with the minimum energy consumption in the route selection and builds a multicast tree with minimum delay. Then there exists route failure in all route discovery methods resulting in data loss and routing overheads.

**3.4.4 Particle Swarm Optimization based Lifetime Prediction(PSOLP):** It predicts the lifetime of the link and node in the available bandwidth based on the parameters such as relative mobility of nodes and energy drain rate. To decide the node status for prediction these parameters are fuzzified and fuzzy rules have been formed .For verifying status of a node before data transmission the piece of information is exchanged among all the nodes [26].

**3.4.5 Hybrid PSO and K-means clustering algorithm:** The hybrid algorithm first executes the K-means algorithm once. In this case the K-means clustering is terminated when any one constraint satisfied: the maximum number of iterations is exceeded, (or) the average change in centroid vectors is less than 0.0001 (a user specified parameter). The result of the K-means algorithm is then used as one of the particles, while the rest of the swarm is initialized randomly .With the result of K-means algorithm , the performance of PSO clustering algorithm can be improved by seeding the initial swarm [27].

**3.4.6 Multi objective particle swarm optimization(MOPSO):** It is clustering based algorithm for mobile ad hoc networks .It manages the resources of the network by finding the optimal numbers of clusters in multi objective fashion. This clustering algorithm takes into consideration the transmission power, ideal degree, mobility of nodes, and battery power consumption of the mobile nodes for selecting the cluster-heads. It uses the evolutionary capability to optimize the number of clusters. Two clustering algorithm based on MOPSO are: Comprehensive learning particle swarm optimization (CLPSO), Weighted Clustering Algorithm (WCA). It has number of objectives that are minimized or maximized simultaneously.

**TABLE 1:OVERVIEW OF ACO AND PSO ROUTING PROTOCOLS**

<b>ACO</b>	<b>PSO</b>	<b>Based on routing</b>	<b>Based on security</b>	<b>Design goals</b>
	WPSO	No	Yes	Avoid blockage in minima local
Digital Ant, Sentinel, Serge Ant	Neural Hybrid methods, Hybrid PSO and K- algorithm	No	Yes	Detection of malware, To provide optimal detection rate.
	BPSO	No	Yes	Position update is given each time
IN-ACO (Incluster -Ant Colony Optimization)		Yes	No	higher energy efficiency,prolonged network lifetime, enhanced stability period,
Sensor Driven Cost-Aware Ant Routing(SC)		Yes	No	Performance is improvised.
Flooded Forward Ant Routing (FF)		Yes		Overcome shortcomings of disguiding path
Energy Efficient ant based routing (EEABR)		Yes		objective to enhance sensor nodes energy by reducing communication overhead
Energy Delay Ant based routing(E-D)		Yes		enhance network lifetime and minimize propagation delay

#### **IV .CONCLUSION**

In wireless networks, the network security and routing considered as challenging task. Because of this ACO and PSO had developed more protocols for network security and routing. This work presented various ACO and PSO based routing protocols and their goals. We were keen to extend this work towards research to develop more protocols for network security and routing. The main goal of this work is to give a general overview of ACO and PSO based protocols for network security and routing .We hope that this work will help the protocol designer to know about various algorithms and their properties so far when designing a new ACO and PSO based protocols.

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